



IN THE UNITED STATES DISTRICT COURT
For the Southern District of California

SECURITIES AND EXCHANGE
COMMISSION
Plaintiff,

v.

JAMES E. FRANKLIN,
Defendant.

Case No. 02cv84 DMS (RBB)

NOTICE OF APPEAL

NOTICE IS HEREBY GIVEN that Defendant JAMES E. FRANKLIN hereby
appeals to the United States Court of Appeals for the Ninth Circuit from the
district court's Order entered on July 30, 2025 (ECF No. 505), denying
Defendant's Motion for Relief from Final Judgment and Motion to Vacate the
Penny Stock Bar.

Dated: July 31, 2025

Respectfully submitted,

James E. Franklin

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1 I declare under penalty of perjury that the foregoing is true and correct.

2 Executed on this 31st day of August 2025

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